

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Brian N. Tufte

Serial No.:

10/074,364

Examiner: I. Negron

Filing Date:

February 12, 2002

Group Art Unit: 2875

For:

LIGHTING APPARATUS

Docket No.:

1076.1101104

TRANSMITTAL SHEET

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450, and this 15th day of August, 2003.

Bv

Brian N. Tufte

We are transmitting herewith the attached:

[X] Information Disclosure Statement, Form PTO-1449 and 18 cited references.

[X] Certification for Information Disclosure Statement.

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to

Deposit Account No. 50-0413.

Brian N. Thifte, Reg./No/ 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800

Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349



AUG 18 TOTAL STATE TRADERIES

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Brian N. Tufte

Serial No.:

10/074,364

Examiner: I. Negron

Filing Date:

February 12, 2002

Group Art Unit: 2875

For:

LIGHTING APPARATUS

Docket No.:

1076.1101104

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States

Postal Service on the date shown below with sufficient postage as first/class mail in an envelope addressed to the:

Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450,

on this 15th flat of Alight/, 2003.

Rv.

Brian N. Tuft

Dear Sir/Madam:

- 1. This certification is being made for the Information Disclosure Statement accompanying this certification.
- 2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: 15, 2003

Brian N/Tuffe, Reg. No. 38,638

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2402

Telephone:

(612) 677-9050

Facsimile:

(612) 359-9349

"CMMOLOGY CENTER 2800